

**OTEM 2010**

**4th Workshop on Optoelectronic Techniques for Environmental Monitoring**

19-21 October 2010, Romania, Cluj-Napoca

---

# **Compact micropulse backscatter lidar: Airborne and groundbased applications**

---

**Valentin Mitev**

CSEM - Centre Suisse d'Electronique et de Microtechnique SA – Recherche et Développement, *rue de l'Observatoire, CH-2000 Neuchâtel, Switzerland*

# Motivation

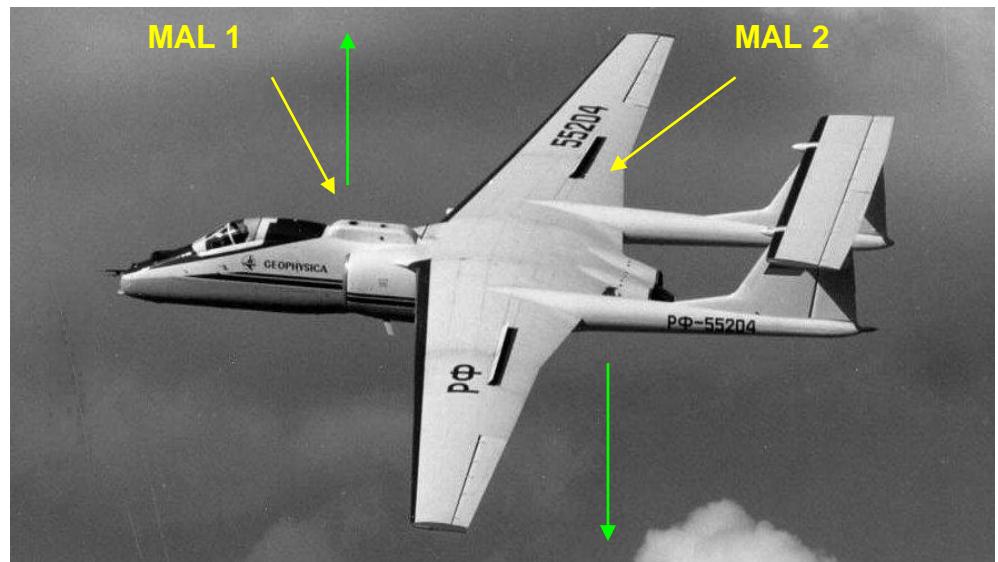
- To realise compact, robust, automatically operating backscatter lidar for stratospheric aircraft, with a sensitivity sufficient for detection of clouds with  $BR \sim 2-5$  at 1-2km from the aircraft
- To check its performance for PBL and low troposphere measurements: Aerosol backscatter Coefficient and PBL (mixed layer) top;

## The “Starting point” – lidar for stratospheric aircraft:

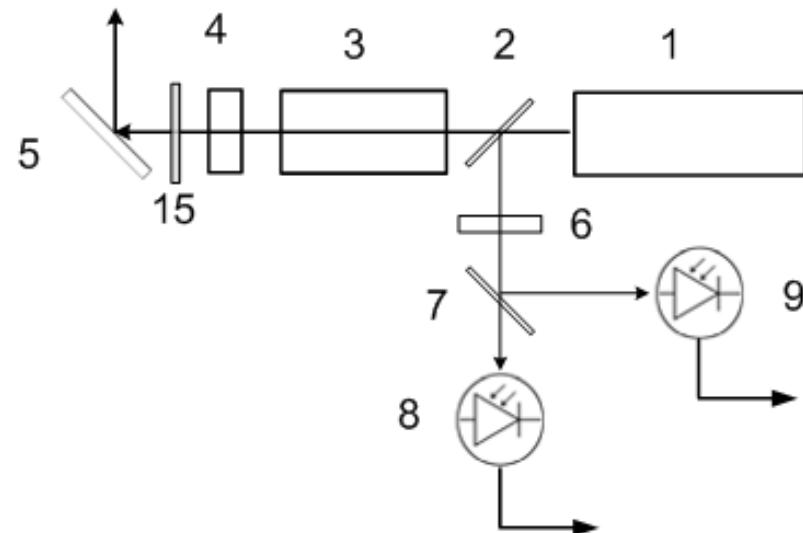
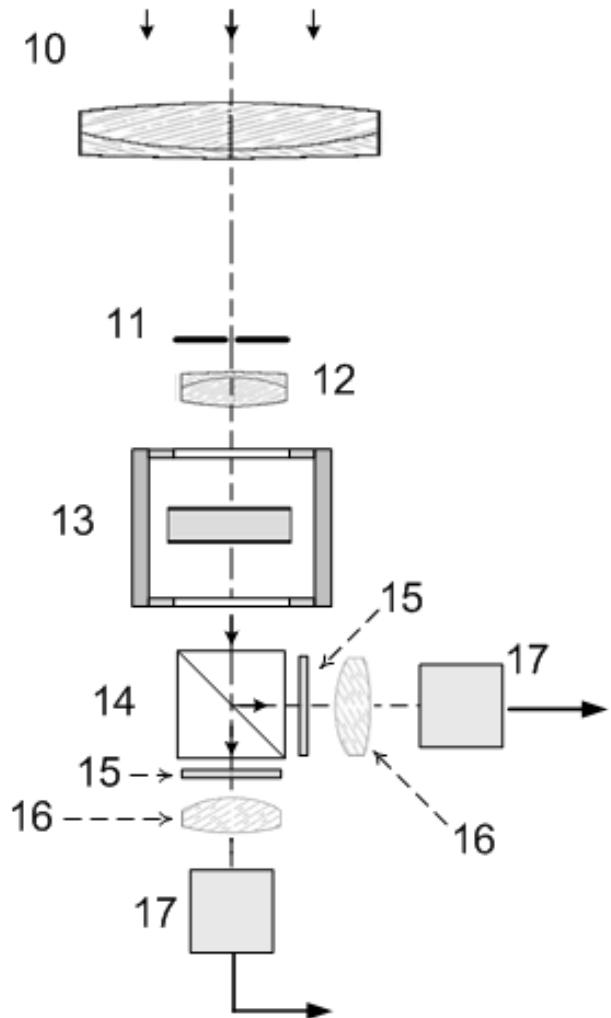


M-55 « Geophysica » (Myasishchev  
Design Bureau – Russia)

- payloads: 1500 – 2100 kg
- altitude :up to 21-22 km
- flight duration: 5-6 hours
- cruise speed: about 750 km/h
- crew: 1



## The Optical set-up:



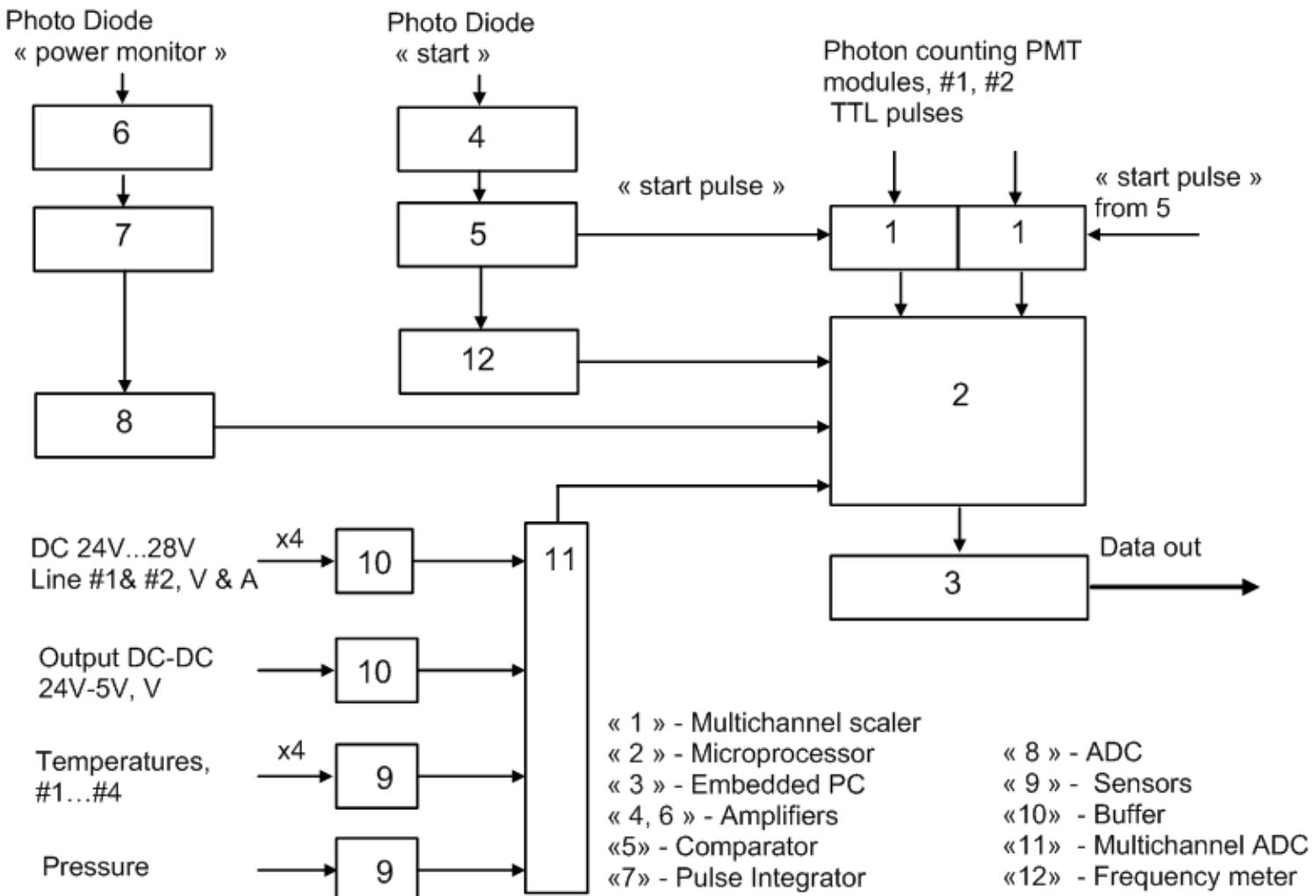
### RECEIVER :

- « 10 » - Receiver lens
- « 11 » - Field stop
- « 12 » - Collimating lens
- « 13 » - Interfernece filter in thermostabilisation housing
- « 14 » - Polarisation beamsplitter-cube
- « 15 » - Polarisation filter
- « 16 » - Focusing lens
- « 17 » - PMT Module

### TRANSMITTER :

- « 1 » - Laser
- « 2 » - Plate 95%T
- « 3 » - Beam expander, x16
- « 4 » -  $\lambda/2$  - Plate
- « 5 » - Beam pointing mirror
- « 6 » - Glass filter, « green »
- « 7 » - Plate 50% T/R
- « 8 » - PIN PhDiode, « start »
- « 9 » - PIN PhDiode, « monitor »
- « 15 » - Polarisation filter

# The Signal acquisition set-up:

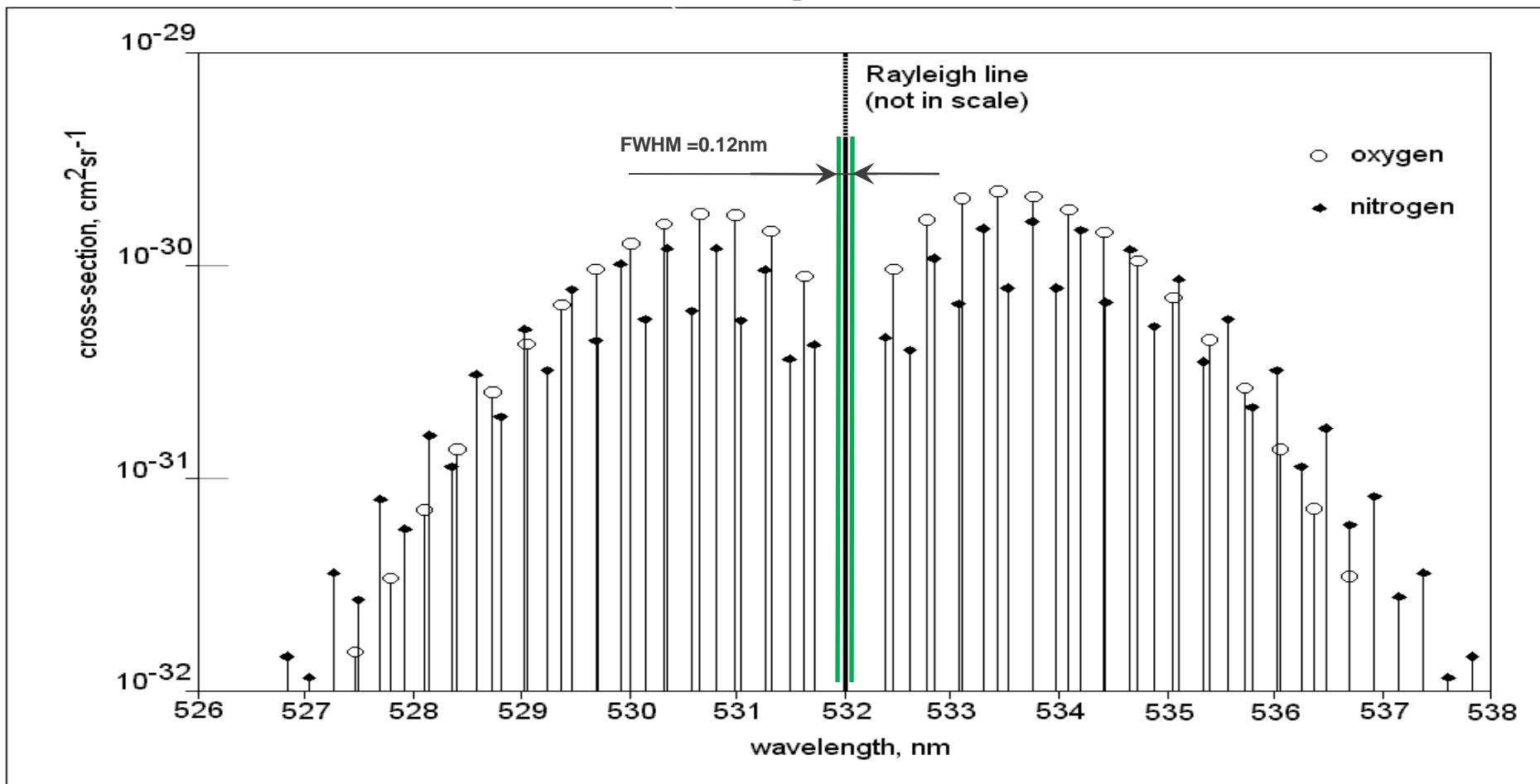


## The Sub-systems Specs:

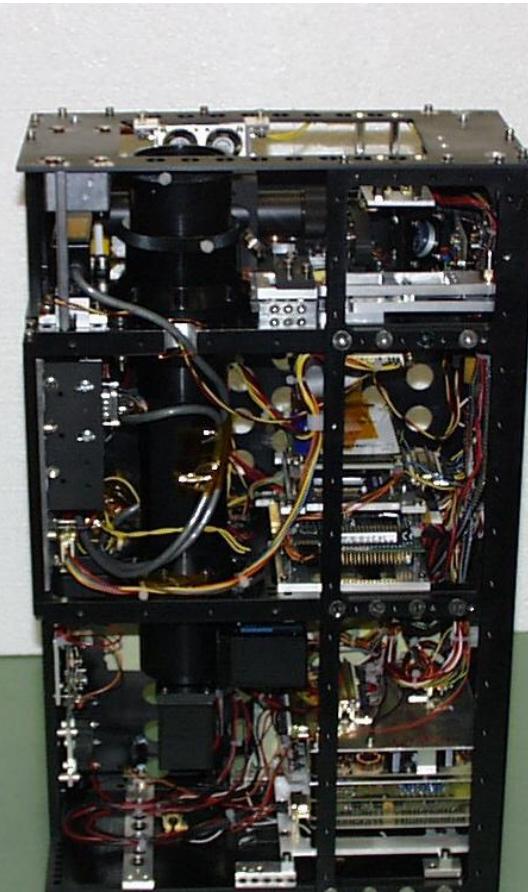
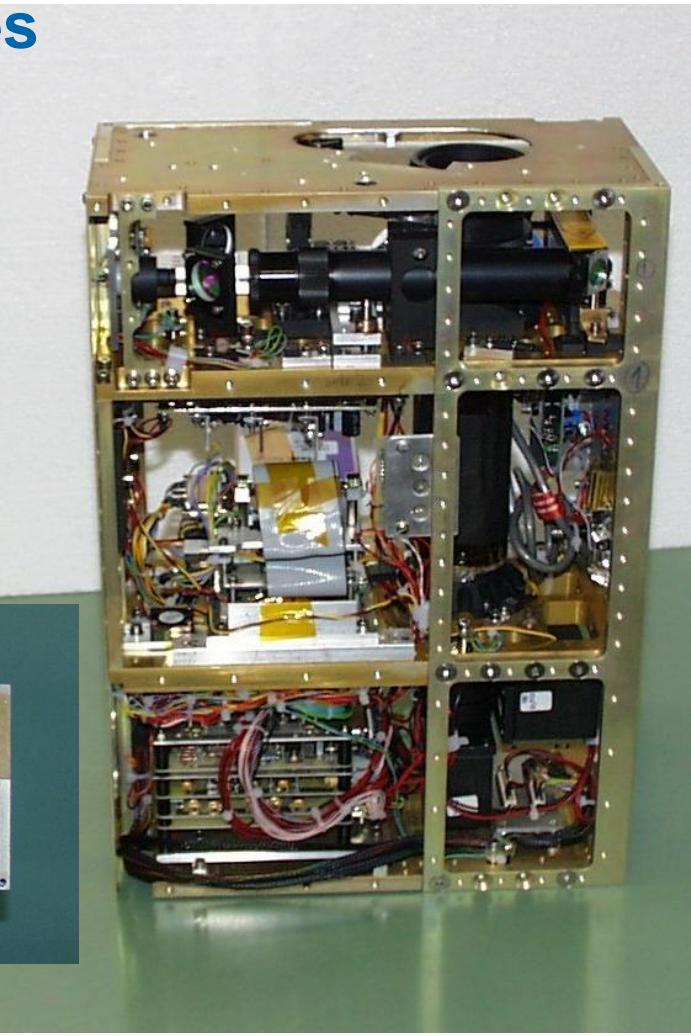
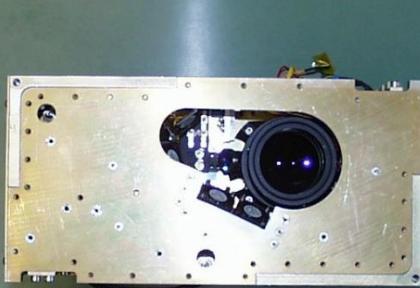
Here only a selected set; Complete set of specs - in the “Handbook of Instruments/30 March 2007;

Transmitter		Detector	
Laser type	NANOLASE NG-0032x-L05	PMT ( <i>module with SW PMT tube</i> )	Hamamatsu H6240-01
Wavelength	532nm	Sensitivity /dark counts ( <i>passport data</i> )	~3.4e5 cps/pW 80/Max 200
Pulse energy/PRR	3.5μJ/5KHz	<b>Data acquisition</b>	
Beam Expander	X20	Type - Photon counting	Laboratory made multichannel scaler
Beam diam/divergence	8mm/0.25mrad FWHM (+/-0.02)	Counting rate	50MHz
Receiver		Row data time resolution	6sec
Effective Diameter	48mm	Row data range resolution	Adjustable bin: 10m ...30m
FOV	0.4mrad	Number of range bins	1028 bins
Filter FWHM/TR	0.12nm (Barr)/0.38	Embedded PC	486/66 MHz

## The filter bandwidth and the depolarisation measurements:



## The Assemblies



## The Lidars in housings / The campaigns:



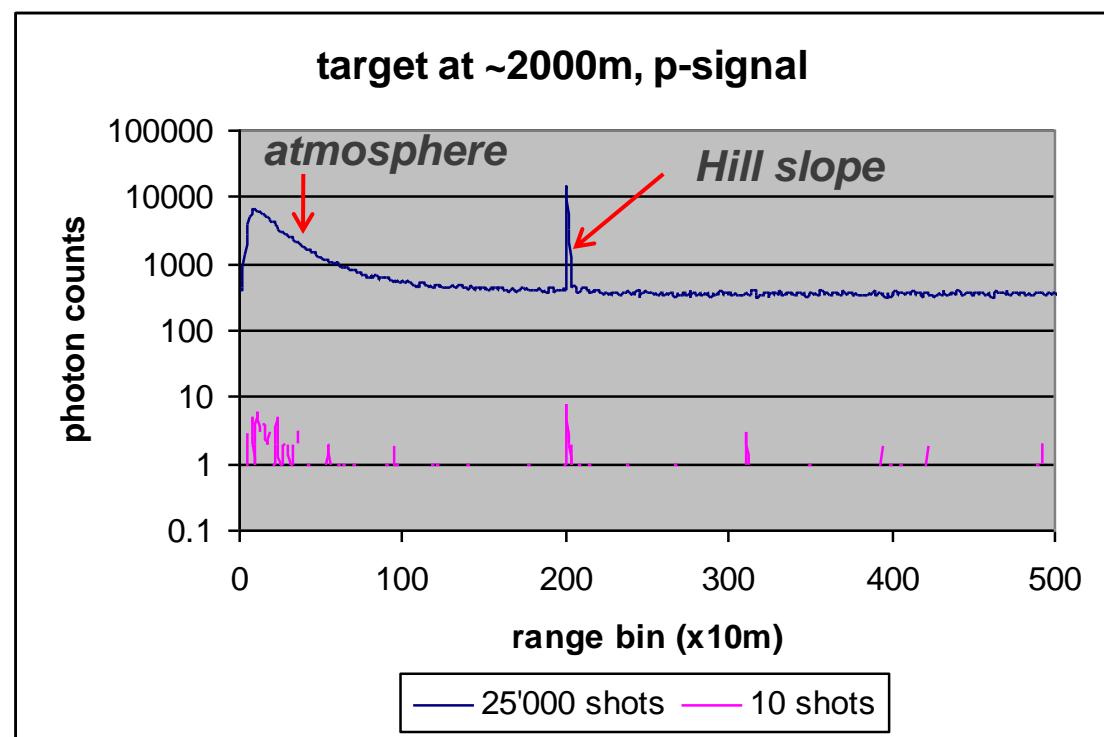
### Airborne:

- APE-POLECAR, Rovaniemi – Finland, Dec 1996-Jan 1997
- ETC, Forli - Italy, Dec 1998 – Jan 1999
- APE-THESEO, Mahe – Seychelles, Feb-March 1999
- APE-GAIA, Ushuaya – Argentina, 1996-April 1999 July and Oct 2002
- EUPLEX, Kiruna-Sweden, Jan-Feb 2003
- ENVISAT Validation, Forli July and Oct 2002 Kiruna, Feb-March 2003
- TROCCINOX, Aracatuba- Brazil, Jan-Feb 2005
- SCOUT-O3, Darwin-Australia, Nov-Dec 2005
- RECONCILE, Kiruna-Sweden, Jan-March 2010

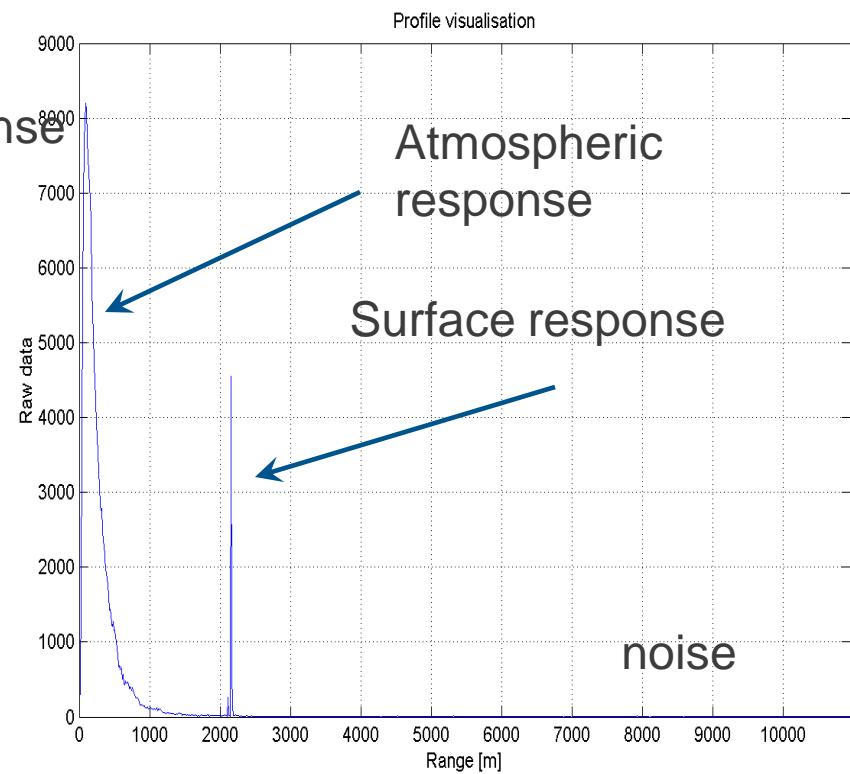
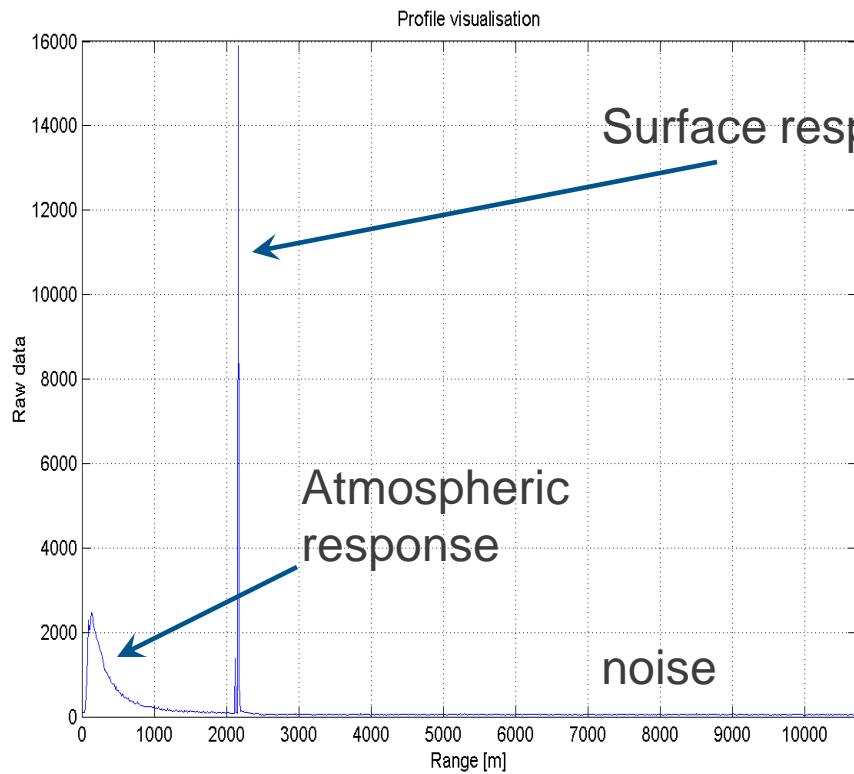
### Groundbased:

- EARLINET (EC), 2000-2003, intercomparison: Neuchâtel, Paris, Jungfraujoch
- BUBLE (EC, COST715), Basel, Oct 2001- Sept 2002
- EARLINET-ASOS (EC), 2006- Neuchâtel

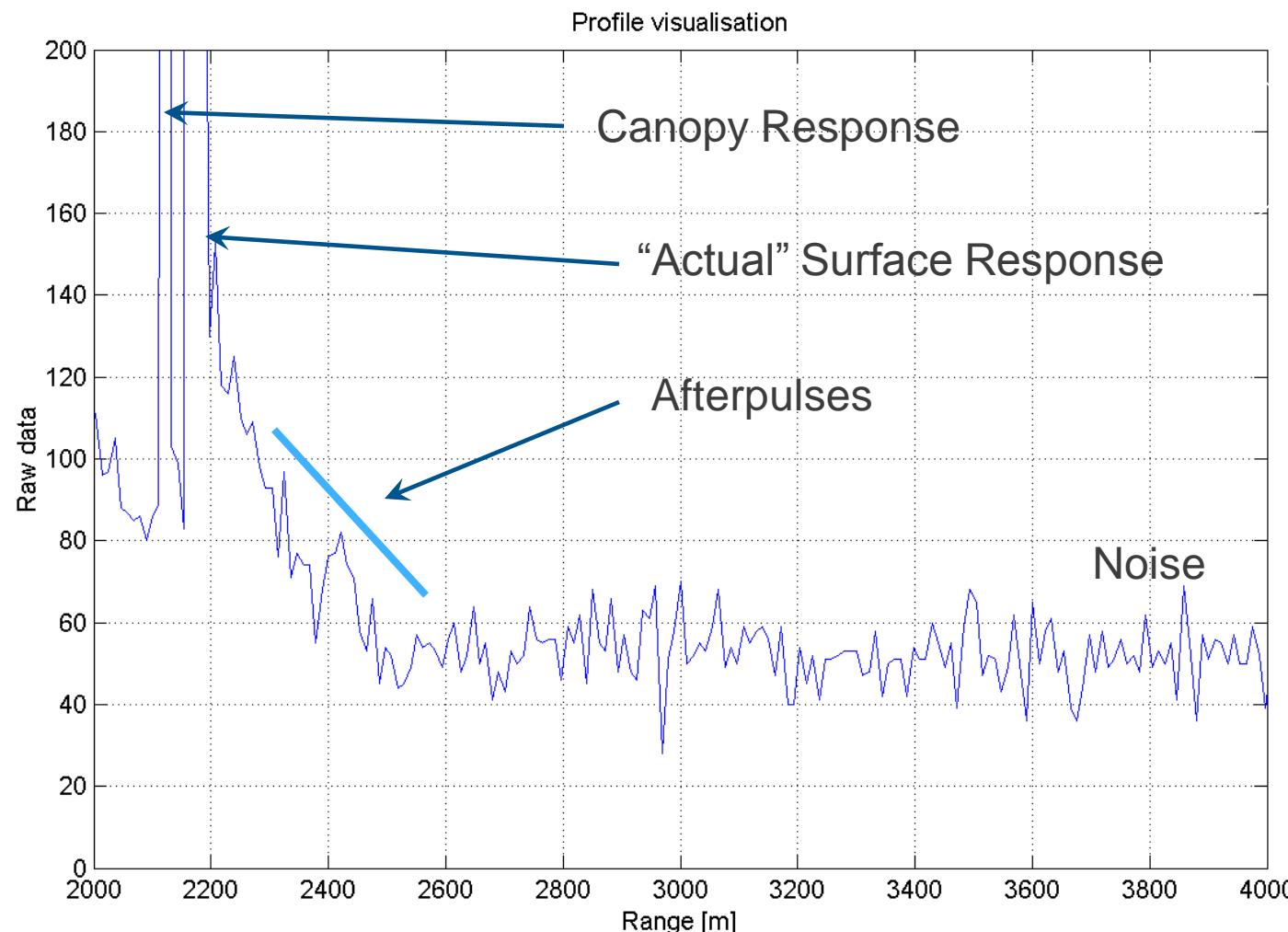
## Alignment :



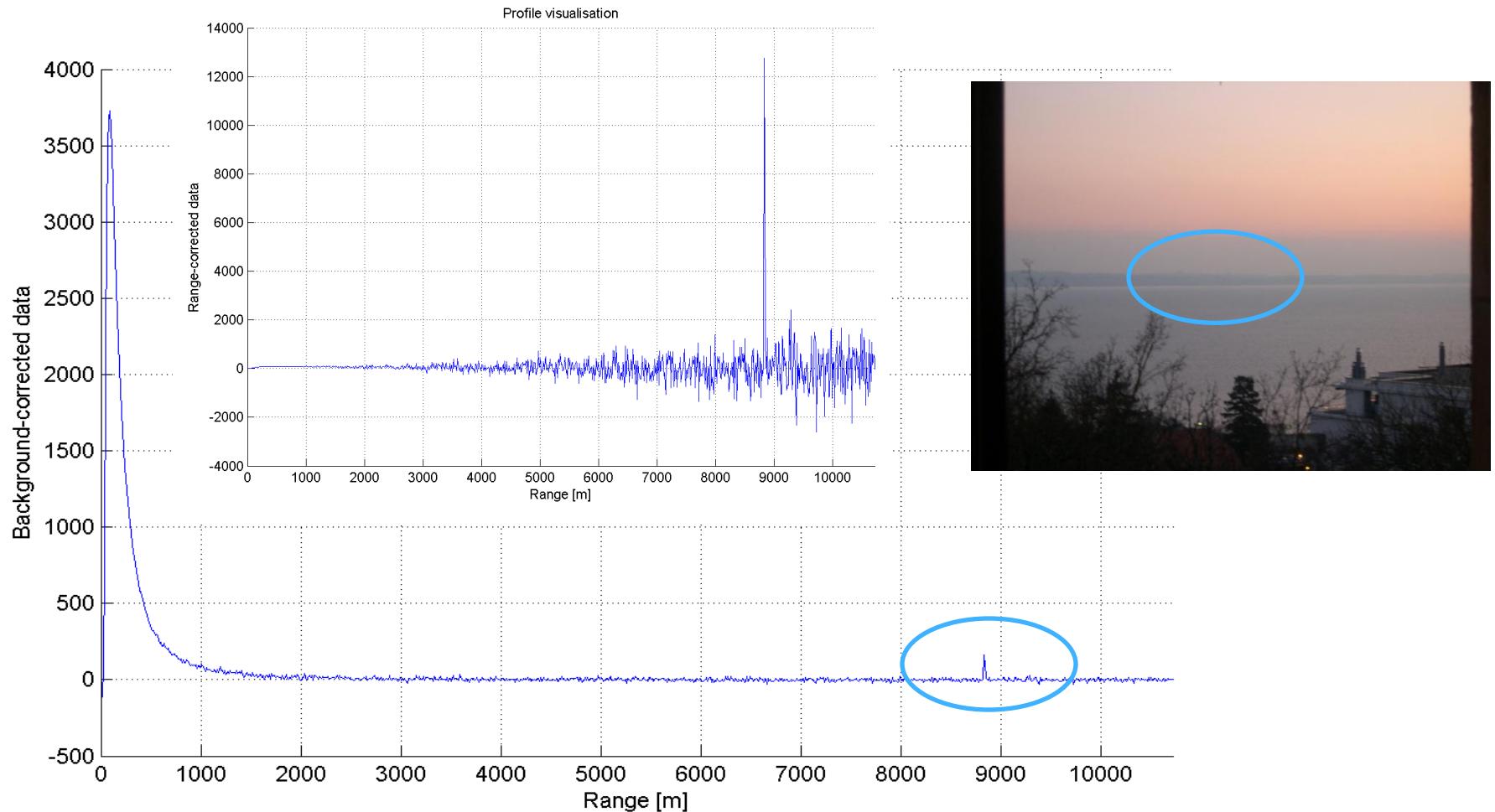
## Alignment +:



## Alignment / zoom of the surface response:



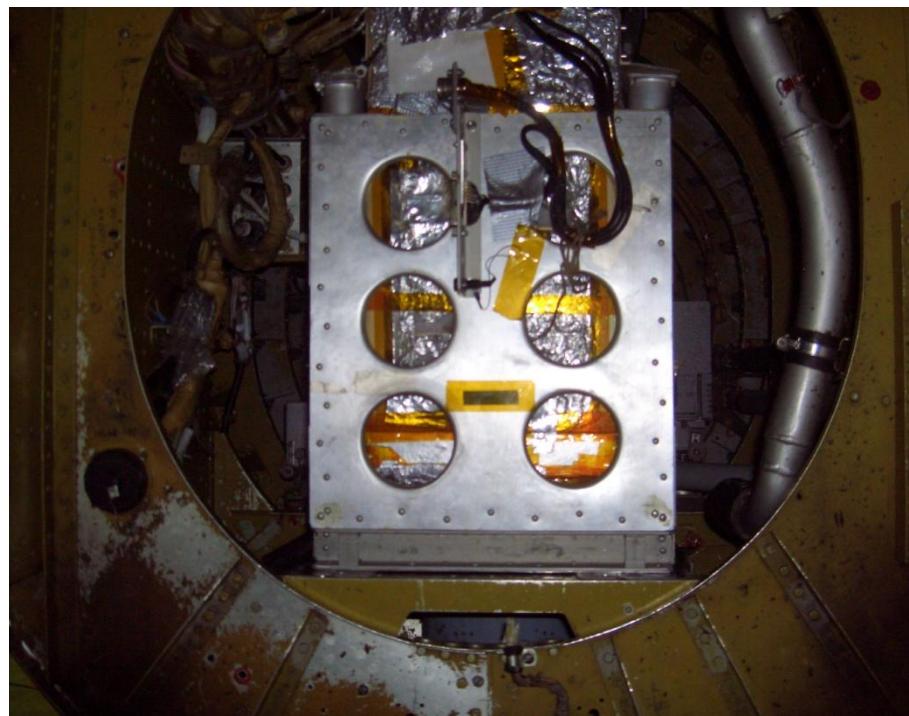
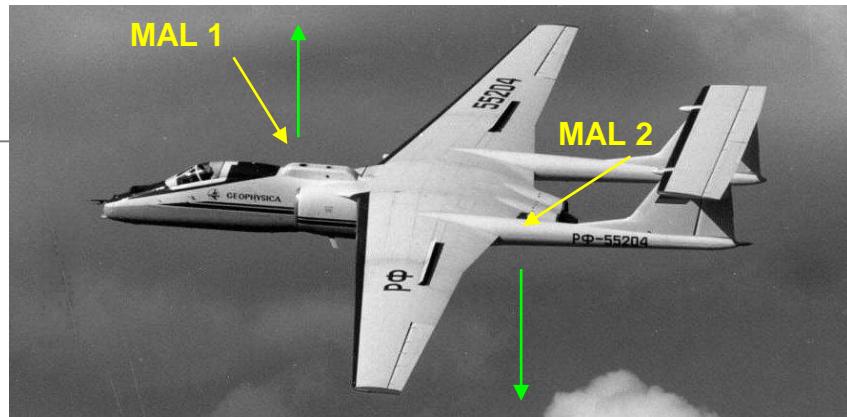
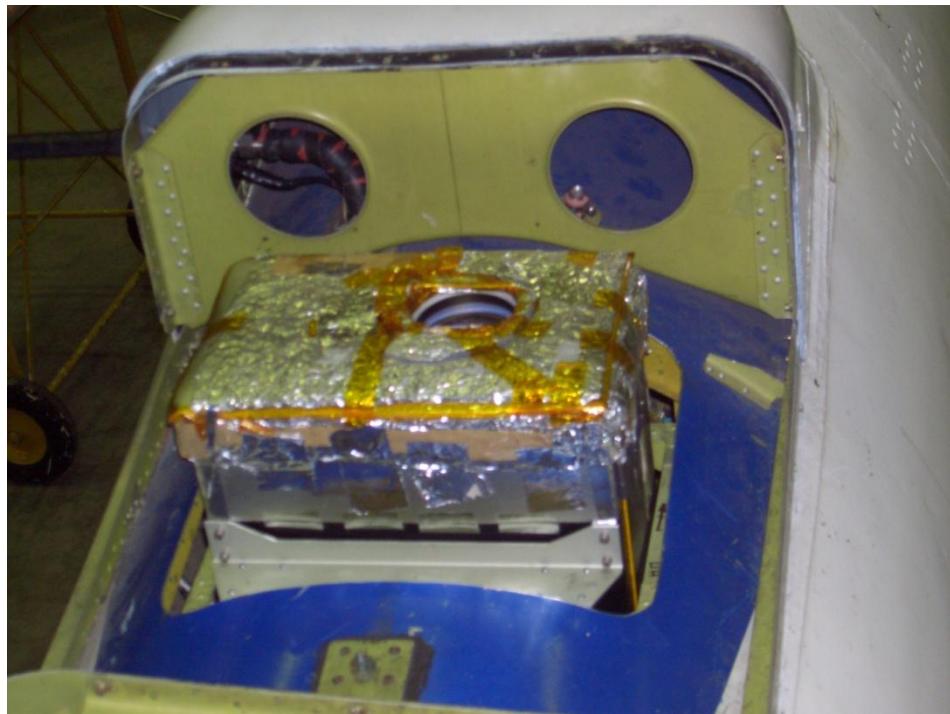
## Alignment :



**Let us go airborne ....**



## The Lidars installed on M55:



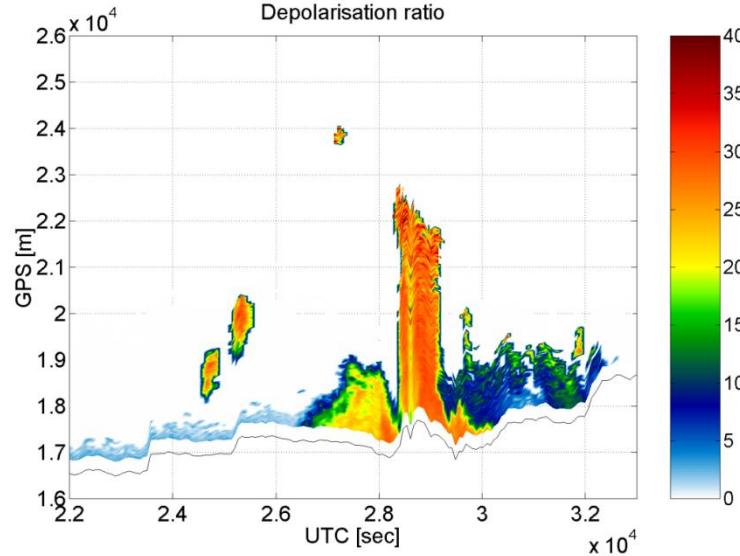
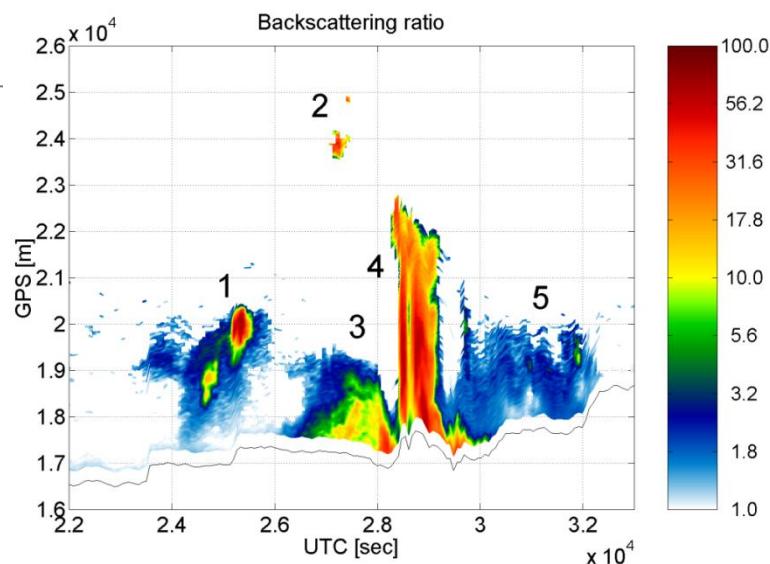
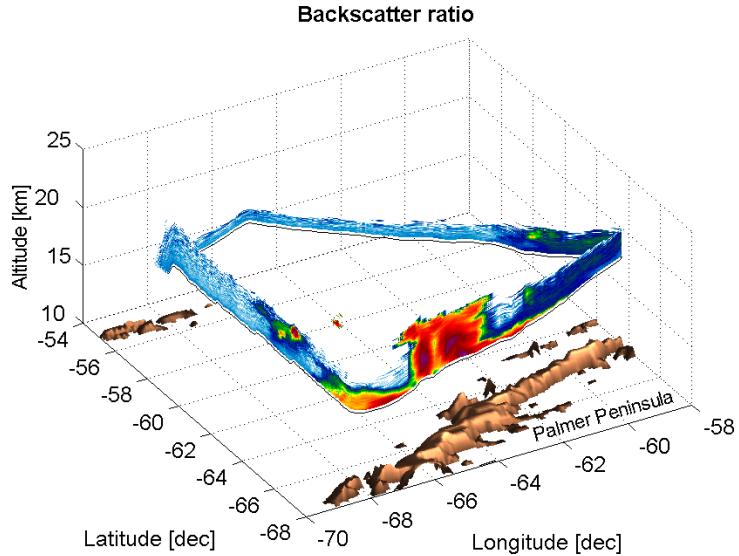
# Campaign GAIA/ Over the Antarctic Peninsula from Ushuaia, 1999

Lee-wave PSC type II

APE-GAIA Campaign - Ushuaia  
Observatoire de Neuchâtel

Flight: 02-Oct-1999  
MAL-up

Range resol.: 40 m  
Time resol.: 60 s



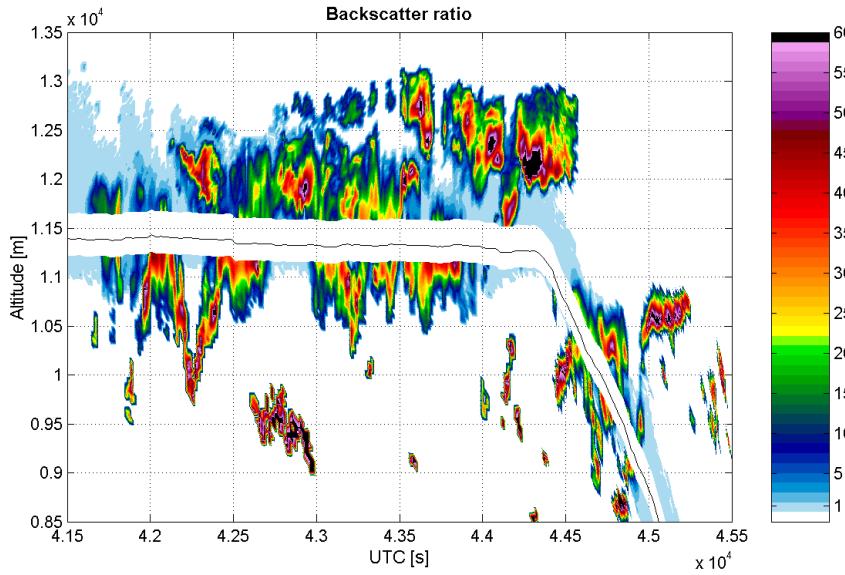
# ENVISAT Validation, 2002-2003

## Cirrus clouds

ENVISAT Mid-Latitude Campaign - Forli  
Observatoire de Neuchatel

Flight: 17-Oct-2002  
MAL-up and MAL-down

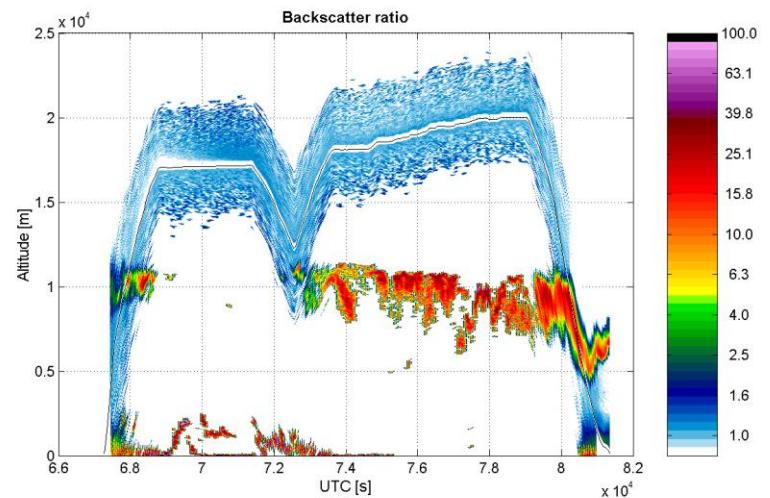
Range resol.: 40 m and 50 m  
Time resol.: 15 s



ENVISAT Mid-Latitude Campaign - Forli  
Observatoire de Neuchatel

Flight: 24-Oct-2002  
MAL-up and MAL-down

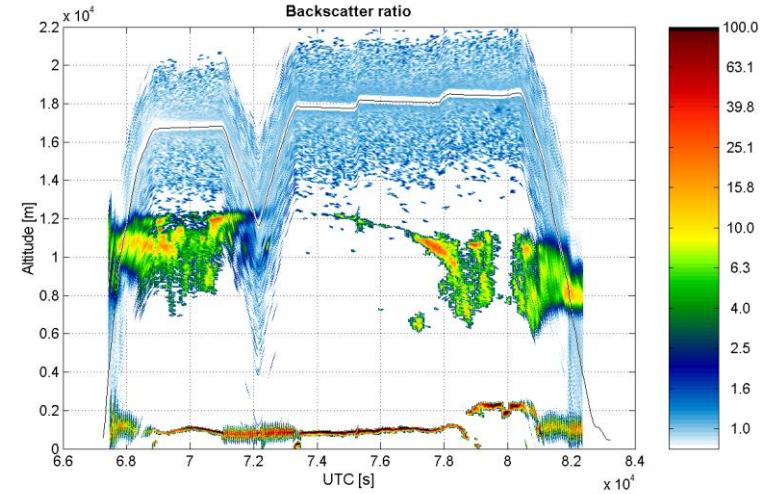
Range resol.: 40 and 50 m  
Time resol.: 60 s



ENVISAT Campaign - Kiruna  
Observatoire de Neuchatel

Flight: 02-Mar-2003  
MAL-up and MAL-down

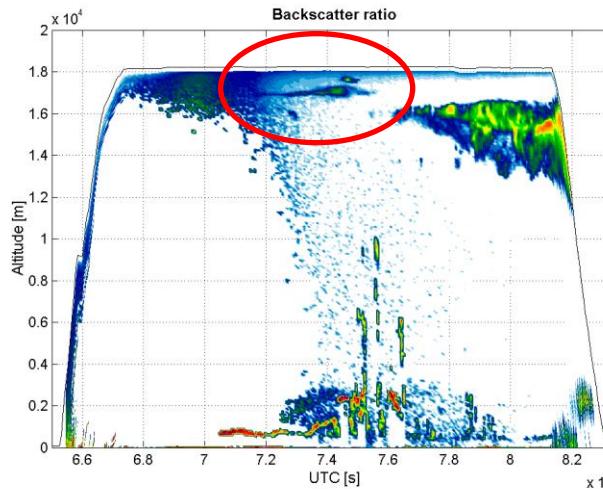
Range resol.: 40 m and 49.75 m  
Time resol.: 60 s



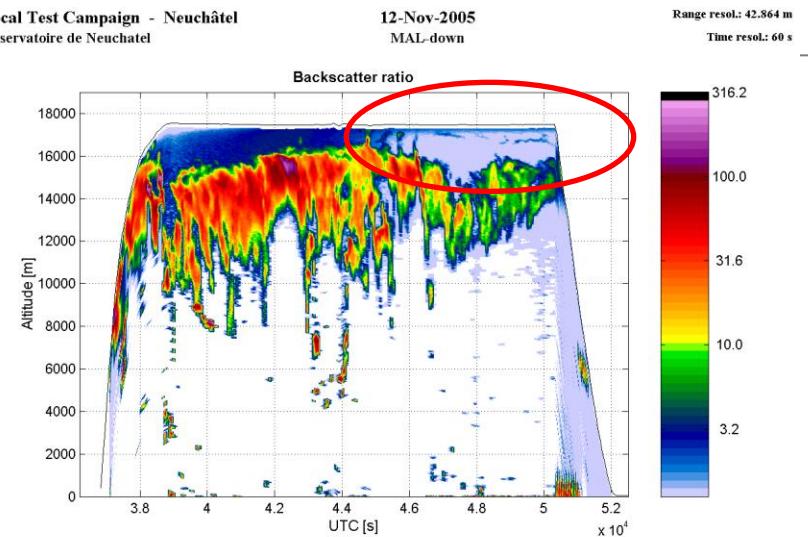
# TROCCINOX and SCOUT – O3, 2005

## Ultra Thin Tropopause Clouds

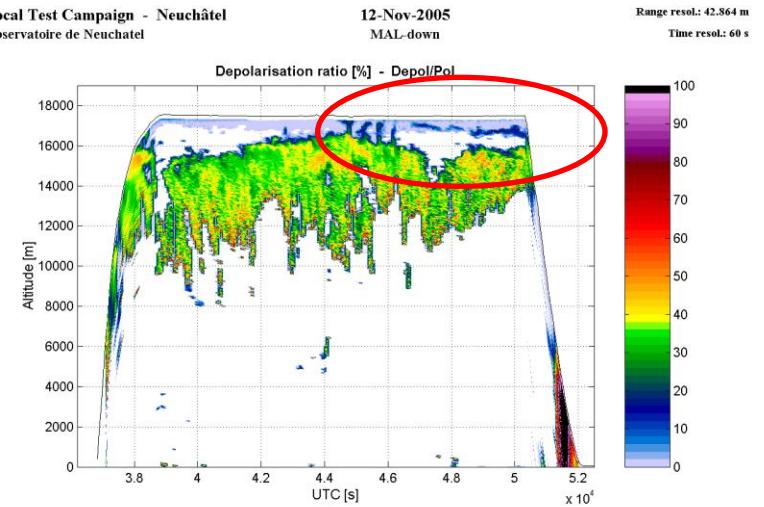
TROCCINOX-2 Campaign - Brasil  
Observatoire de Neuchâtel



Local Test Campaign - Neuchâtel  
Observatoire de Neuchâtel

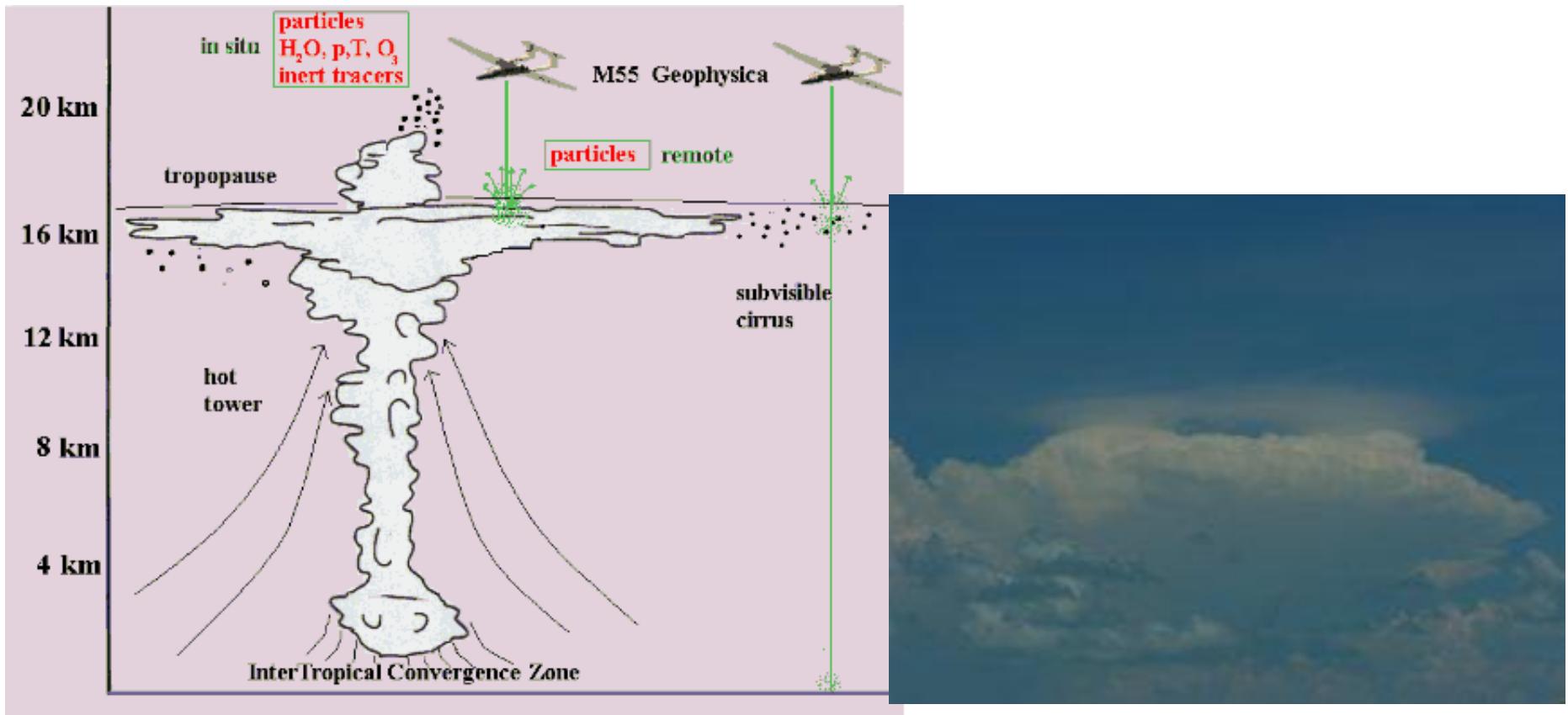


Local Test Campaign - Neuchâtel  
Observatoire de Neuchâtel



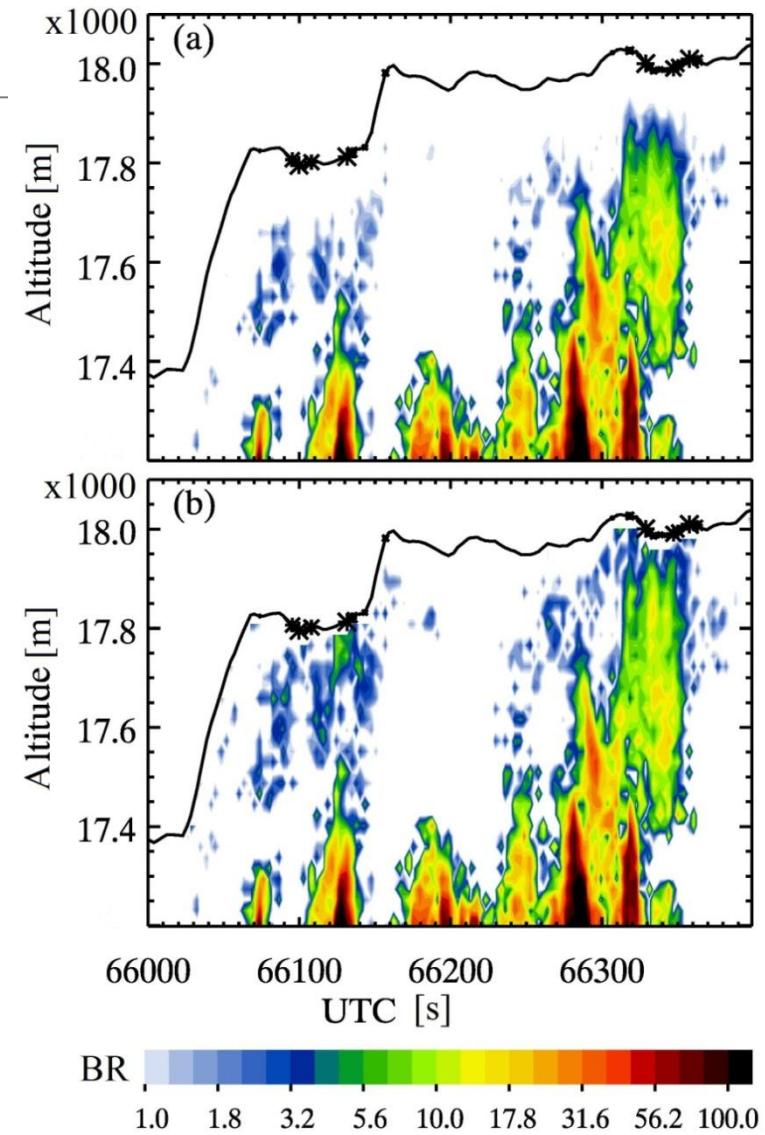
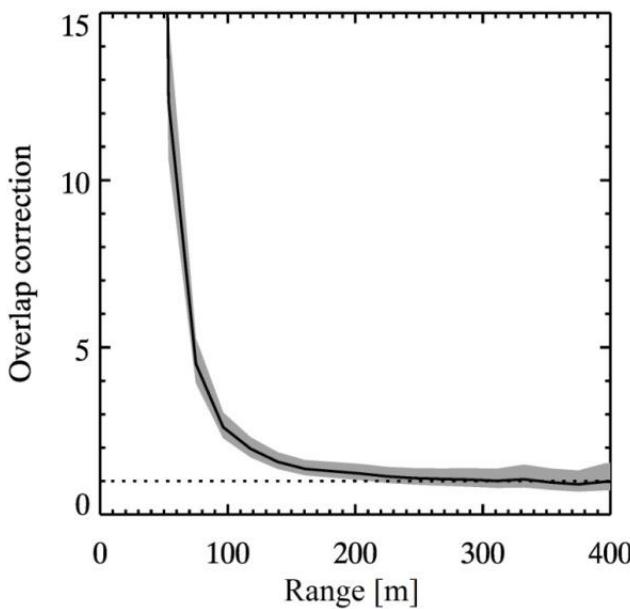
# TROCCINOX and SCOUT – O3, 2005

## The problem of Tropical convections



# TROCCINOX and SCOUT – O3, 2005

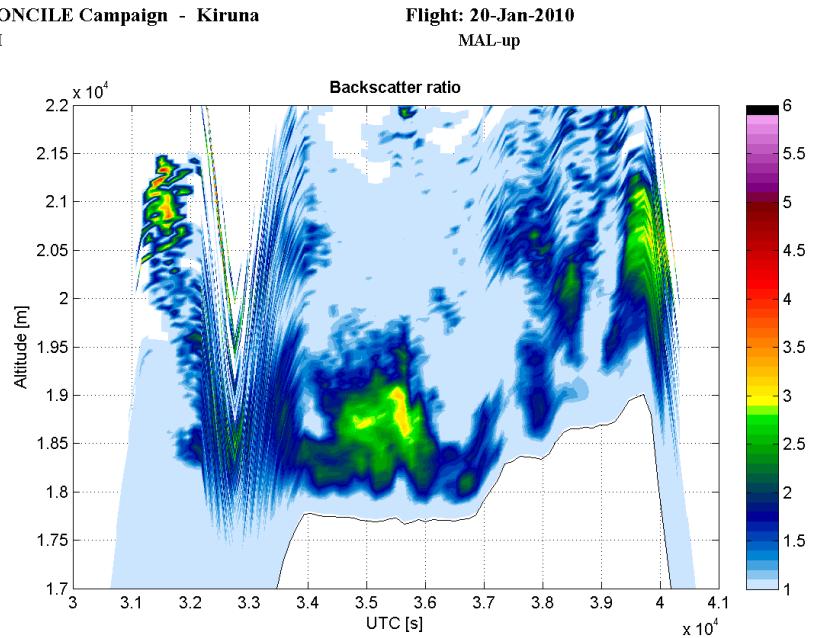
Aerosol layers emerging from the top of high-tropical convection – Correction for the partial overlap using pure molecular backscatter –  
*Flight on 04 Feb.2005 , TROCCINOX*



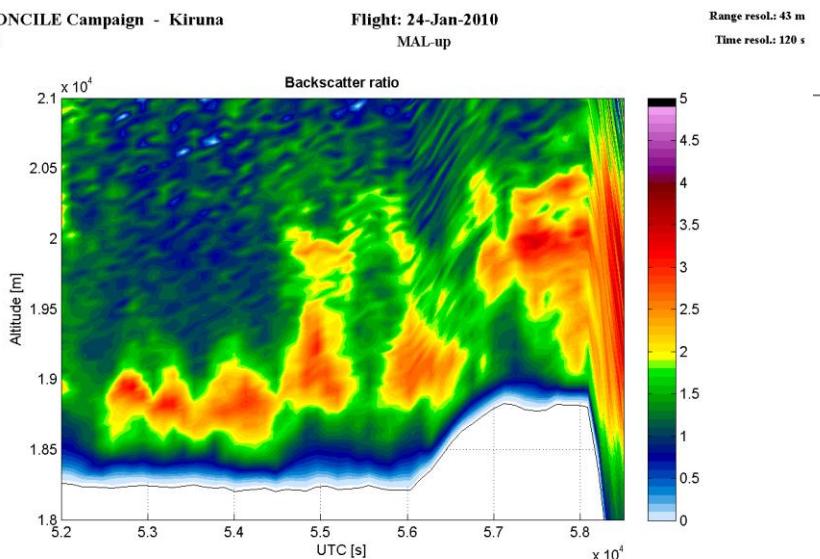
# RECONCILE, 2010

Polar Stratospheric Clouds  
- synoptic, not lee-wave

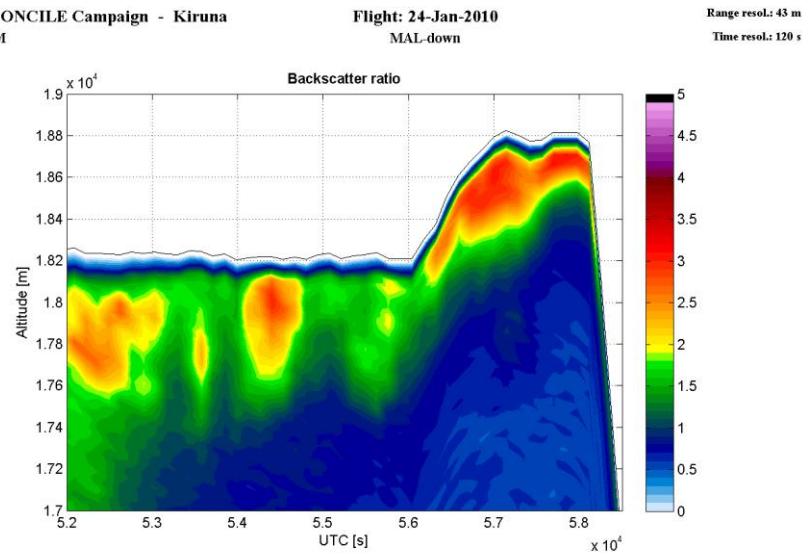
RECONCILE Campaign - Kiruna  
CSEM



RECONCILE Campaign - Kiruna  
CSEM



RECONCILE Campaign - Kiruna  
CSEM



## Let us come back to the Earth ....



## Lower troposphere - Signal processing and output values:

- **Aerosol Backscatter Coefficient:** Fernald method, “lidar ratio”=50; reference values for molecular atmosphere: radiosonding (Meteoswiss – Payerne, 20km) or SIRA; SR reference values:~1.0-1.2@5500m-6000m

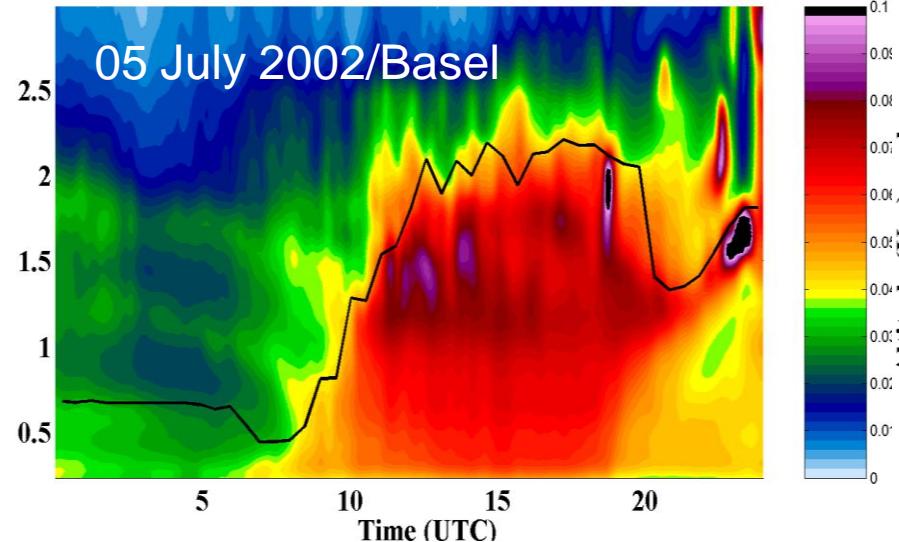
- **ABL top:** Log-derivative

- **Depolarisation Ratio:**

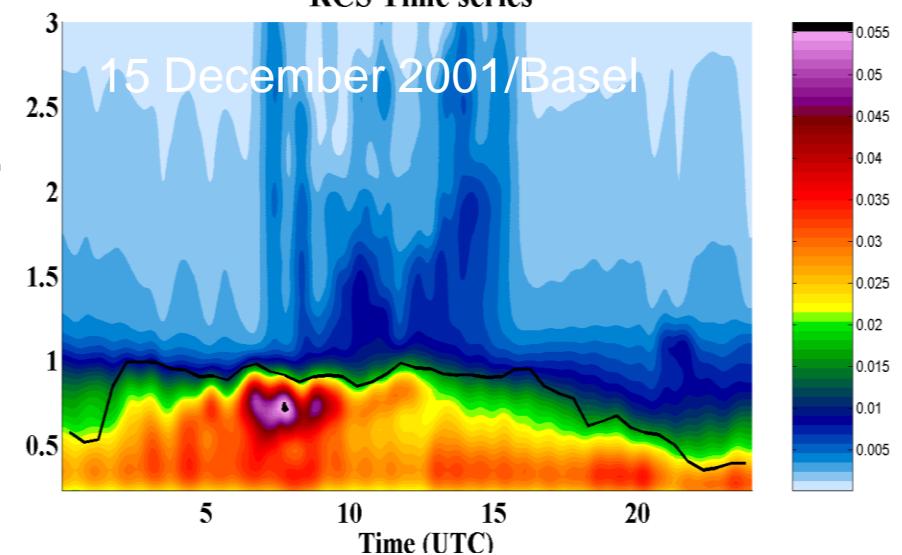
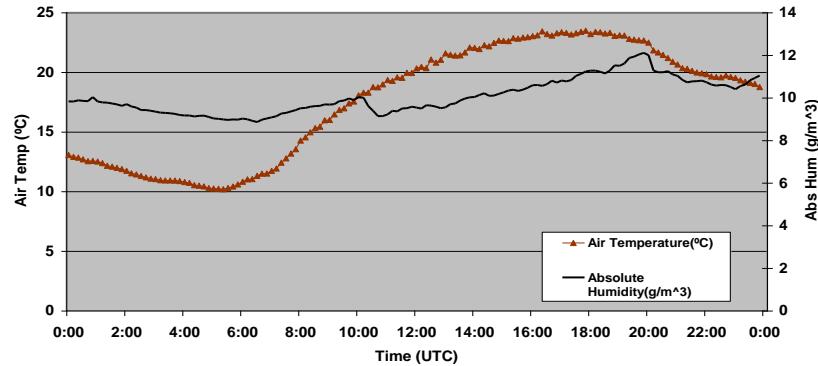
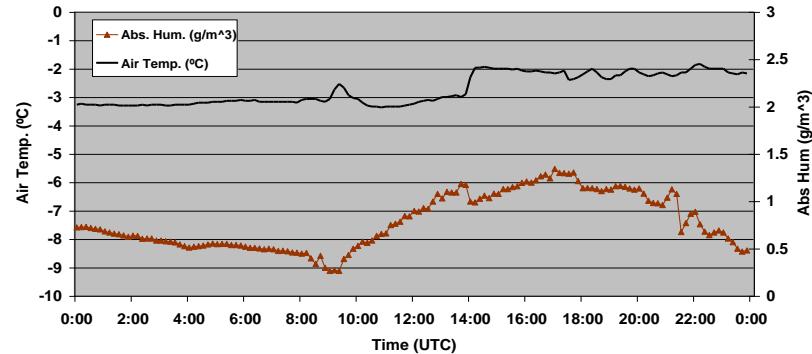
Linear Volume DR; Calibration by 45° method and/or non-polarised light source (special cloud situation found to be very useful);

# ABL (mixing layer) top altitude

RCS Time series

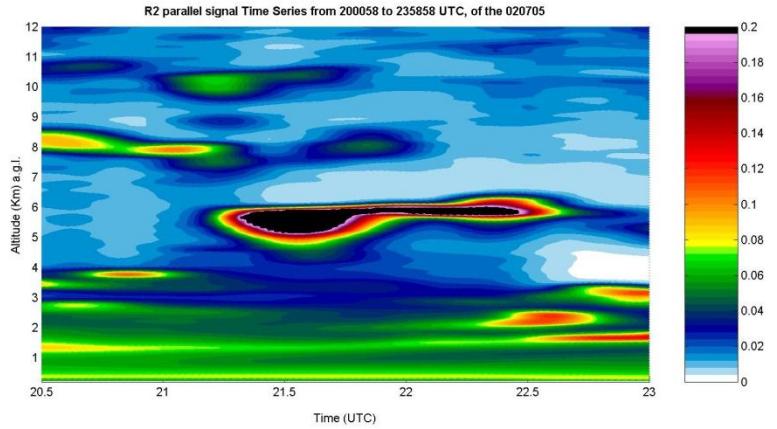


RCS Time series

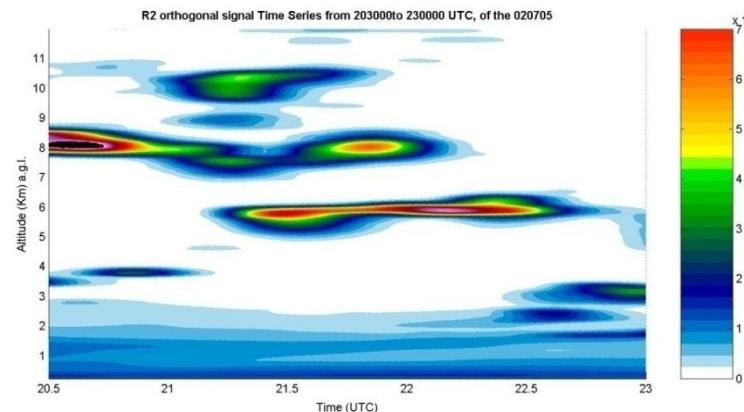
Absolute Humidity ( $\text{g/m}^3$ ) @ 40m a.g.l. in Leonhard St. and Air Temperature ( $^\circ\text{C}$ ) @ 33m a.g.l., Spalenring St.Absolute Humidity ( $\text{g/m}^3$ ) @ 40m a.g.l., Leonhard St. And Air Temperature ( $^\circ\text{C}$ ) @ 33m a.g.l., Spalenring St.

# Depolarisation Ratio

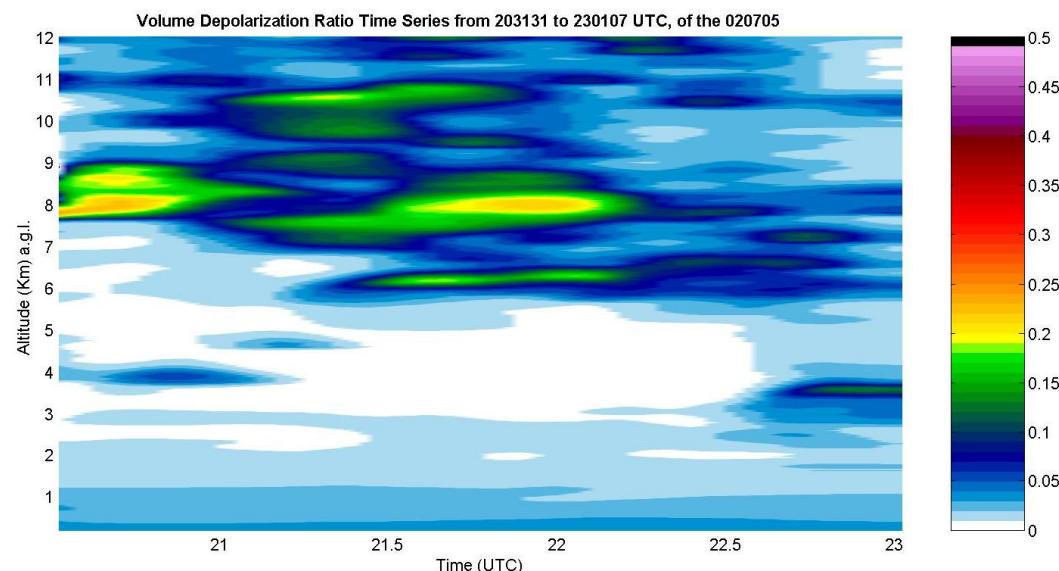
## P-polarisation- RC signal



## S-polarisation - RC signal

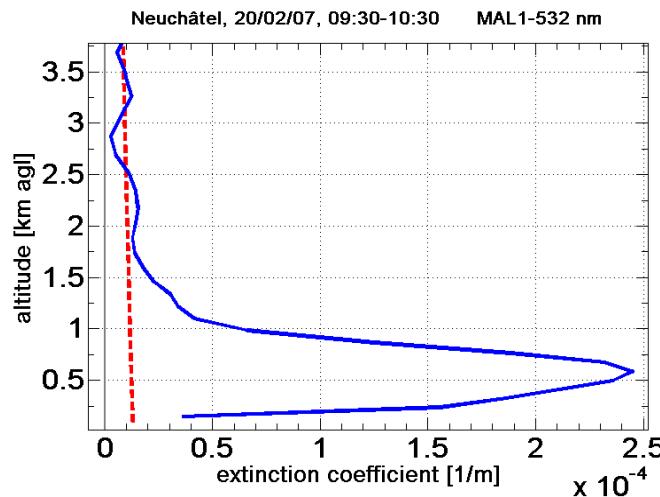
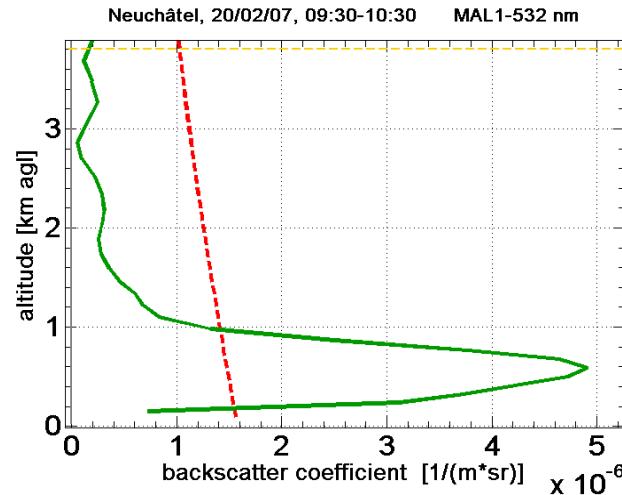


## Volume Depolarisation Ratio

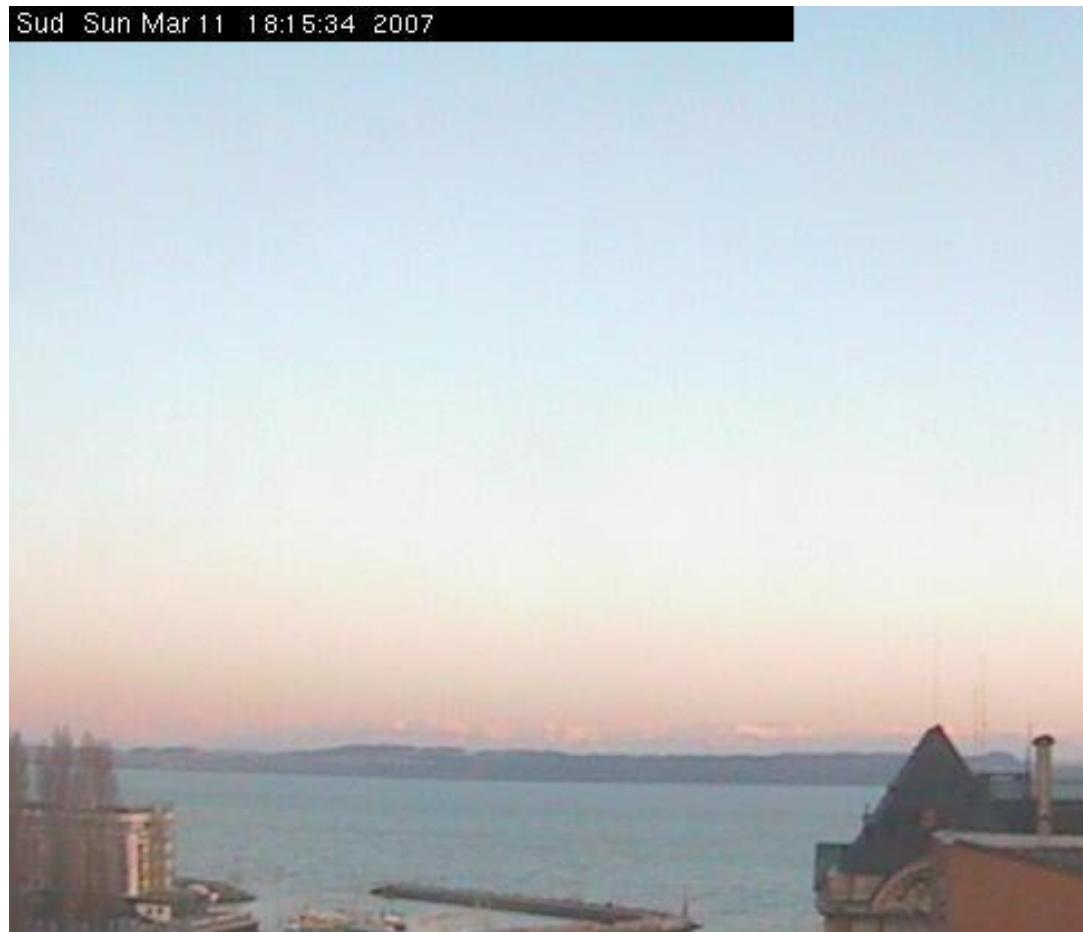
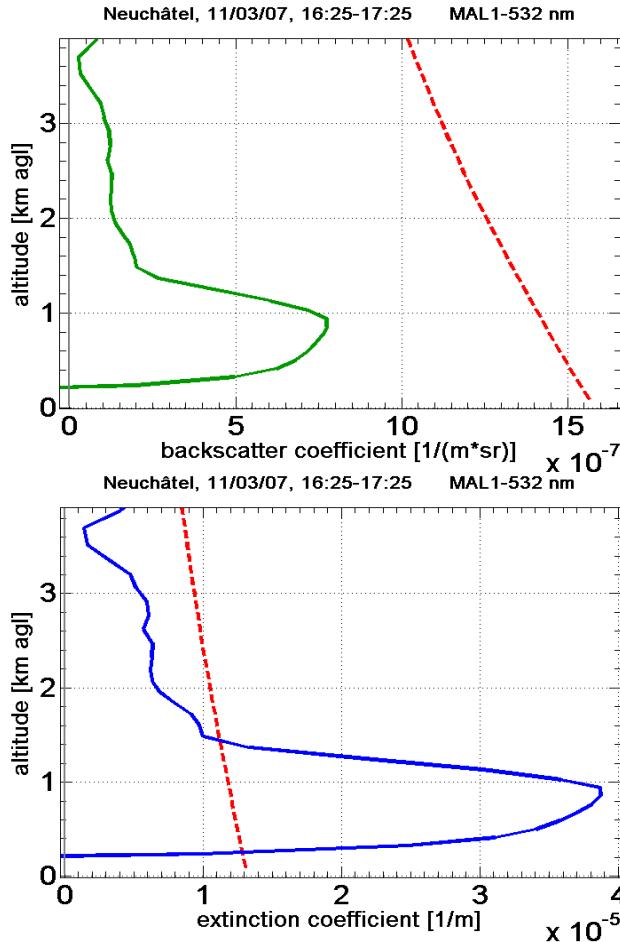


## Aerosol Backscatter Coefficient ...

versus the Visibility Range



# Aerosol Backscatter Coefficient ... versus the Visibility Range



## Koschmieder equation

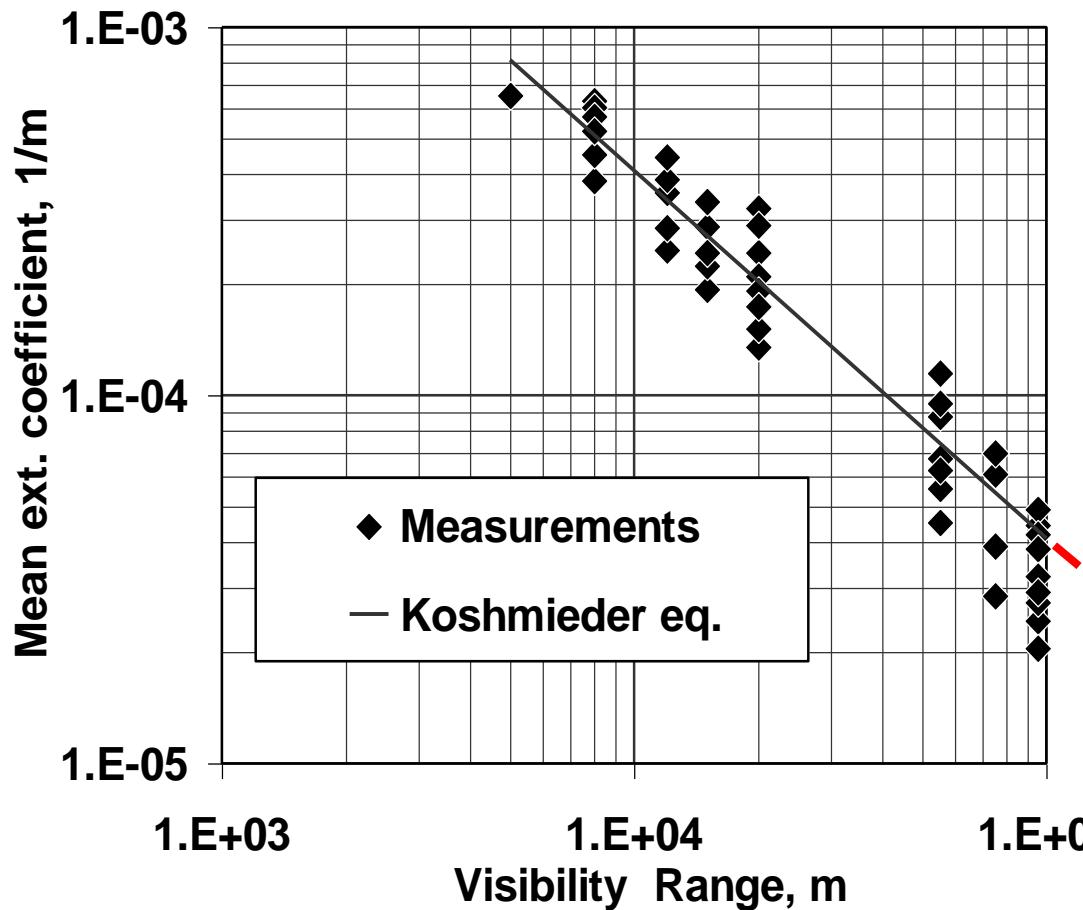
$$R_V = \frac{3.912}{\sigma_{mean}}$$

$$\sigma_{mean} = \frac{\sigma_{PBL}}{R_T} R_1 + \frac{\sigma_{Tropo}}{R_T} R_2$$



No	Topography object, altitude (asl)	Range
1	Opposite shore of lake Neuchâtel (approx 450m)	8 - 12 km (by azimuth)
2	Mt Vully (653m)	15 - 20 km (by azimuth)
3	Mt Ochen (2184m) and Mt Stockhorn (2195m) - Fribourg	55 km
4	Mt Wildstrubel (3243m) and Mt Balmhorn (3698m) - Fribourg	75 km
5	Mt Jungfrau (4158m) and Mt Mönch (4099m)	95 km

## ... And the two together



$$\sigma_{mean} = \frac{\sigma_{PBL}}{R_T} R_1 + \frac{\sigma_{Tropo}}{R_T} R_2$$

## Conclusion:

*There is a lidar technology, ready  
to fill the gaps, where “big “ lidars can  
not go*